



PATENT
Attorney Docket No.: A-68798-1/RFT/DHR

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

BALTIMORE et al.

Serial No.: 09/900,509

Filed: 5 July 2001

For: Transcription Factor Regulators and
Methods for Screening for Same

Examiner: Not Assigned

Group Art Unit: 1632

COPY OF PAPERS
ORIGINALLY FILED

CERTIFICATE OF MAILING

I hereby certify that this correspondence, including listed enclosures, is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, **BOX MISSING PARTS**, Washington, DC 20231 on Dec. 19, 2001.

Signed: Gail Diehl

Gail Diehl

RESPONSE TO NOTICE TO FILE MISSING PARTS
Filing Date Granted

Assistant Commissioner for Patents
BOX MISSING PARTS
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application, *Filing Date Granted*, mailed 31 August 2001, enclosed are the following documents:

- 1) A copy of the Notice to File Missing Parts of Application, *Filing Date Granted*;
- 2) Executed Declaration for Patent Application;
- 3) Executed Power of Attorney;
- 4) Preliminary Amendment Re Sequence Listing;
- 5) Sequence Listing: Paper Copy **and** Computer readable disk copy;
- 6) Check in the amount of \$635.00 comprised of the following:

| | |
|--|-----------|
| Filing Fee | \$370.00 |
| Surcharge for filing the fee on a date later than the filing date | \$ 65.00 |
| Petition Fee for 2-Mo Extension of Time | \$200.00; |

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- 7) Petition for Two (2) Month Extension of Time; and
- 8) Return postcard.


Applicant claims Small Entity status under 37 CFR 1.27.

The Assistant Commissioner is hereby authorized to charge any ad
fees, including extensions, or credit any overpayment to Deposit Account 06-1300 (68798-1/RFT/DHR).

Respectfully submitted,

FLEHR HOHBACH TEST
ALBRITTON & HERBERT LLP

By


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| | | | |
|--------------------|---------------------|-----------------------|------------------------|
| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
| 09/900,509 | 07/05/2001 | David Baltimore | A-68798-1/RFT/DHR |

CONFIRMATION NO. 4157

FORMALITIES LETTER



OC000000006503855

FLEHR HOHBACH TEST
ALBRITTON & HERBERT LLP
Suite 3400
Four Embarcadero Center
San Francisco, CA 94111-4187

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Date Mailed: 08/31/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

01/25/2002 MAHME1 00000069 09900509

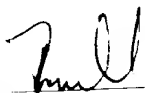
01 FC:201
02 FC:205

370.00 OP
65.00 OP

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE